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JAN 29 2004

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**FACSIMILE COVER SHEET**

DATE: JANUARY 29, 2004  
OUR REFERENCE: 440824/PALL

NUMBER OF PAGES (INCLUDING  
THIS TRANSMITTAL COVER SHEET): 5

FROM: JOHN M. BELZ  
REGISTRATION NO. 30,359

EXTENSION No.: X216

APPLICATION NO.: 10/694,404  
TITLE: **FILTER ASSEMBLY**

TO: UNITED STATES PATENT AND TRADEMARK OFFICE  
ALEXANDRIA, VA 22313-1450  
FACSIMILE NUMBER: **703-872-9306**

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that the accompanying Request and Petition for Suspension of Action under 37 CFR § 1.103(a) (along with any documents referred to as attached or enclosed) is being transmitted by facsimile to the United States Patent and Trademark Office, Attention: Examiner M. Savage, Art Unit 1723, Facsimile Number 571-273-1146, on the date indicated.

Date: January 29, 2004

John M. Belz, Reg. No. 30,359

(Typed or printed name of person transmitting)

(Signature of person transmitting)

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In re Application of: GUTMAN et al.  
Application No. 10/694,404  
Filed: October 28, 2003  
For: FILTER ASSEMBLY

Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

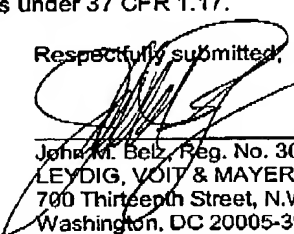
Sir:

- ☐ Small entity status is claimed for this application under 37 CFR 1.27.
- ☐ Petition for an extension of time for the period noted below, as well as for any additional period necessary to render the present submission timely. Please charge Deposit Account No. 12-1216 for the appropriate petition fee.
- ☒ Other: Request and Petition for Suspension of Action under 37 CFR § 1.103(a)
- ☒ Please charge Deposit Account No. 12-1216 in the amount of **\$130.00**. A duplicate copy of this transmittal sheet is enclosed herewith.

|   |   |       |                                    |                      | SMALL ENTITY |                  | OTHER THAN A SMALL ENTITY |                  |
|---|---|-------|------------------------------------|----------------------|--------------|------------------|---------------------------|------------------|
| TIME EXTENSION PETITION FEE                   |   |       | none                               |                      | \$ 0.00      |                  | \$ 0.00                   |                  |
|   | subtract time extension fee previously paid |       | none                               |                      | (\$ 0.00)    |                  | (\$ 0.00)                 |                  |
|   |   |       |                                    |                      |              |                  |                           |                  |
| CLAIM FEE                                     | CLAIMS REMAINING AFTER AMENDMENT            |       | HIGHEST NUMBER PREVIOUSLY PAID FOR | EXTRA CLAIMS PRESENT | RATE         | ADDIT. CLAIM FEE | RATE                      | ADDIT. CLAIM FEE |
| TOTAL   |   | MINUS |                                    | =0                   | x 9=         | \$               | x 18=                     | \$               |
| INDEPENDENT                                   |   | MINUS |                                    | =0                   | x 43=        | \$               | x 86=                     | \$               |
| <input type="checkbox"/>                      | FIRST PRESENTATION OF MULTIPLE CLAIM        |       |                                    |                      | + 145=       | \$               | + 290=                    | \$               |
|   |   |       |                                    |                      |              |                  |                           |                  |
| TOTAL AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT |   |       |                                    |                      | TOTAL        | \$               | TOTAL                     | \$               |

- ☒ The Commissioner is hereby authorized to charge any deficiencies in the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1216.
- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
John M. Belz, Reg. No. 30,359  
LEYDIG, VOIT & MAYER  
700 Thirteenth Street, N.W., Suite 300  
Washington, DC 20005-3960  
(202) 737-6770 (telephone)  
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Date: 29 Jan 2004

Amendment of ROA Transmittal (Revised 10/2/03)

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Application No. 10/694,404  
Filed: October 28, 2003  
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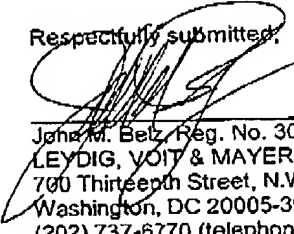
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- ☒ Please charge Deposit Account No. 12-1216 in the amount of \$130.00. A duplicate copy of this transmittal sheet is enclosed herewith.

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|---|---|-------|------------------------------------|----------------------|--------------|------------------|---------------------------|------------------|
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|   | subtract time extension fee previously paid | none  |                                    |                      | (\$ 0.00)    |                  | (\$ 0.00)                 |                  |
|   |   |       |                                    |                      |              |                  |                           |                  |
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| TOTAL   |   | MINUS |                                    | =0                   | x 9=         | \$               | x 18=                     | \$               |
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| <input type="checkbox"/>                      | FIRST PRESENTATION OF MULTIPLE CLAIM        |       |                                    |                      | + 145=       | \$               | + 290=                    | \$               |
|   |   |       |                                    |                      |              |                  |                           |                  |
| TOTAL AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT |   |       |                                    |                      | TOTAL        | \$               | TOTAL                     | \$               |

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Date: 29 Jan 2004  
Amendment of ROA Transmittal (Revised 10/2/03)

JAN 29 2004

OFFICIAL

PATENT

Attorney Docket No. 440824/PALL

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GUTMAN et al.

Art Unit: 1723

Application No. 10/694,404

Examiner: M. Savage

Filed: October 28, 2003

For: FILTER ASSEMBLY

REQUEST AND PETITION FOR  
SUSPENSION OF ACTION UNDER 37 CRF § 1.103(a)Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to a final Office Action in United States Application No. 09/462,765, a continuation application was filed. Applicant's attorney recently received a preliminary indication that the continuation application has been assigned Application No. 10/694,404.

A three-month suspension of action is requested for Continuation Application No. 10/694,404. The United States Patent and Trademark Office is authorized to charge Deposit Account No. 12-1216 for the \$130.00 petition fee set forth in 37 CRF § 1.17(h).

## PETITION

According to the PALM system, Continuation Application No. 10/694,404 is still in the "pre-examination" phase and has not yet been passed to the Examiner. At this time there is no outstanding Office Action or requirement awaiting reply by the Applicants.

Applicants' attorney is preparing a Preliminary Amendment. However, before the Preliminary Amendment can be completed, the inventors, all of whom reside in Europe, and the inventors' employer must complete a review of various commercial embodiments of the filter assembly. Once this review is complete and Applicants' attorney has had an opportunity to examine the review, the Preliminary Amendment can be completed. It is expected that the review can be completed and the Preliminary Amendment can be completed

In re Appln. of GUTMAN et al.  
Application No. 10/694,404

and filed within the next three months. Consequently, a three-month suspension of action is requested.

Respectfully submitted,



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